TMDs in SIDIS Experiments and More

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Nuclear physics is the study of the structure of matter

- Most of the mass and energy in the universe around us comes from nuclei and nuclear reactions.
- The nucleus is a unique form of matter in that all the forces of nature are present : (strong, electromagnetic, weak).



QCD: still unsolved in non-perturbative region



- 2004 Nobel prize for ``asymptotic freedom"
- non-perturbative regime QCD ?????
- One of the top 10 challenges for physics!
- QCD: Important for discovering new physics beyond SM
- Nucleon structure is one of the most active areas

Nucleon Structure



Lepton scattering: powerful microscope!

- Clean probe of hadron structure
- Electron point-like particle, electron vertex is well-known from quantum electrodynamics
- One-photon exchange dominates, *higher-order* exchange diagrams are suppressed
- One can vary the wave-length of the probe to view deeper inside the hadron Resolution $\propto h/Q$

$$-Q \approx 20 \text{ MeV}$$
 $\lambda \approx 10 \text{ fm}$ nucleus $-Q \approx 200 \text{ MeV}$ $\lambda \approx 1 \text{ fm}$ nucleon $-Q \approx 2 \text{ GeV}$ $\lambda \approx 0.1 \text{ fm}$ inside nucleon $-Q \approx 20 \text{ GeV}$ $\lambda \approx 0.01 \text{ fm}$ quark

Using electron scattering as example



Virtual photon 4-momentum

$$q = k - k' = (\vec{q}, \omega)$$

$$Q^{2} = -q^{2}$$
k'
$$\alpha = \frac{1}{137}$$
WWW

Electron-nucleon (Nucleus) scattering

- Low Q² elastic scattering, $x=1=Q^2/2m\omega$
- As Q² increases inelastic effects dominates
- As Q² further

increases, deep-inelastic scattering off quarks inside

m: mass of the nucleon



ω

ω

What is inside the proton/neutron?

1933: Proton's magnetic moment





Nobel Prize In Physics 1943

Otto Stern

1960: Elastic e-p scattering



Nobel Prize In Physics 1961

Robert Hofstadter

"for ... and for his discovery of the magnetic moment of the proton".

 $g \neq 2$



"for ... and for his thereby achieved discoveries concerning the structure of the nucleons"

Form factors \rightarrow Charge distributions

1969: Deep inelastic e-p scattering



Nobel Prize in Physics 1990 Jerome I. Friedman, Henry W. Kendall, Richard E. Taylor

"for their pioneering investigations concerning deep inelastic scattering of electrons on protons ...". slide credit: Jian-Wei Qiu 1974: QCD Asymptotic Freedom







Nobel Prize in Physics 2004 David J. Gross, H. David Politzer, Frank Wilczek

"for the discovery of asymptotic freedom in the theory of the strong interaction".⁷

Lepton Scattering ----- A powerful tool



$$Q^{-} = -q^{-} = -(l - l)^{n}$$

$$v = E_{l} - E_{l}^{n}$$

$$x_{Bjorken} = \frac{Q^{2}}{2mv}$$

4-momentum transfer squared: resolution.Energy transfer.Longitudinal momentum fraction of parton in the light cone frame.

Universal Parton Distribution



Drell-Yan and DIS cross sections are well described by Next-to-Leading Order QCD

Spin as a knob

- Spin Milestones: (Nature)
 - 1896: Zeeman effect (milestone 1)
 - 1922: Stern-Gerlach experiment (2)
 - 1925: Spinning electron (Uhlenbeck/Goudsmit)(3)
 - > 1928: Dirac equation (4)
 - Quantum magnetism (5)
 - ➤ 1932: Isospin(6)
 - 1935: Proton anomalous magnetic moment
 - 1940: Spin-statistics connection(7)
 - > 1946: Nuclear magnetic resonance (NMR)(8)
 - 1971: Supersymmetry(13)
 - 1973: Magnetic resonance imaging(15)
 - 1980s: "Proton spin crisis"
 - ➤ 1990: Functional MRI (19)
 - > 1997: Semiconductor spintronics (23)
 - 2000s: "New breakthrough in spin physics"?





Polarized Deep Inelastic Electron Scattering



All information about the nucleon vertex is contained in

 F_2 and F_1 the unpolarized (spin averaged) structure functions,

and

 g_1 and g_2 the spin dependent structure functions

Brief Introduction on polarized targets and beams

- Dynamical nuclear polarization (DNP)
- Atomic Beam Source Method (ABS)
- Optical pumping technique

Polarized proton and "neutron" targets



About 88%

Dynamic Nuclear Polarization

At equilibrium the populations of the Zeeman levels will obey a Boltzmann distribution.

$$N(\uparrow)/N(\downarrow) = \exp\left[\frac{(-2\mu B)}{kT}\right]$$
$$P_{te} = \frac{[N(\uparrow) - N(\downarrow)]}{[N(\uparrow) + N(\downarrow)]} = \tanh\left(\frac{\mu B}{kT}\right)$$

T = temperature

Thermal equilibrium polarization, spin $\frac{1}{2}$

The polarization will approach thermal equilibrium with a 1/e time constant called t_1 , the spin-lattice relaxation time.



Dynamic nuclear polarization

- Implant target material with paramagnetic impurities ~ 10¹⁹ e⁻ spins/cc ٠
- Polarize the electrons in the radicals via brute force ٠
- Use microwaves to "transfer" this polarization to nuclei ۲

The dipole-dipole interaction between the electrons and nearby nuclear spins permits transitions in which both spins flip.

 H_3C CH_3 H_3C CH₃ FMPO

Electron Spin Resonance of a polarized solid target

- a solid dielectric with ~1019 cm-3 unpaired electrons
- low temperature
- high field

Jeffe Ta





Polarized proton/deuteron target

- Polarized NH₃/ND₃ targets
- Dynamical Nuclear Polarization
- In-beam average polarization 70-90% for p 30-40% for d
- Luminosity up to

~ 10³⁵ (Hall C) ~ 10³⁴ (Hall B)



Adapted from C. Keith's Lecture at ODU

Atomic Beam Source Method for H/D



HERMES Polarized H/D target – Atomic Beam Source



A. Airapetian et al., NIMA, 540, 68-101 (2005)

Laser-Driven Polarized H/D Target



Polarized ³He Targets Pioneered at MIT-Bates

Metastability-exchange optical pumping



Spin-exchange optical pumping



A.K.Thompson et al., PRL68, 2901(1992)

C.E.Woodward *et al.*, PRL **65**, 698 (1990) H. Gao *et al.*, PRC **50**, R546 (1994) J.-O. Hansen *et al.*, PRL74, 654 (1995)



MIT-Bates Taken in June 93

Spin structure of the nucleon

▶ 1980s: "Proton spin crisis" (original EMC result from CERN)



Impressive experimental progress in QCD spin physics in the last 30+ years

- Inclusive spin-dependent DIS
 - ➡ CERN: EMC, SMC, COMPASS
 - ➡ SLAC: E80, E142, E143, E154, E155
 - ➡ DESY: HERMES
 - ➡ JLab: Hall A, B and C

• Semi-inclusive DIS

- ➡ SMC, COMPASS
- ➡ HERMES, JLab
- Polarized pp collisions
 BNL: PHENIX & STAR
 FNAL: POL. DY
- e+e- collisions
 - ➡ KEK: Belle
 - ➡ BaBar
 - ➡ BESIII

Adapted from Z. Meziani's Ji, Yuan and Zhao, Nature Review Physics 3, 65 (2021)



Global Analysis: Polarized PDF

Global analysis of spin-dependent parton distribution functions



J.J. Ethier *et al.* (JAM Collaboration), Phys. Rev. Lett. 119, 132001 (2017).

Measurement of the gluon polarization Δg at RHIC



Helicity PDFs: ΔG



PRD 103 (2021) L091103

Di-jets: Much narrower ranges of initial state partonic momentum fraction tested; different topologies enhance sensitivity of the data to selected x

This result will reduce the uncertainty of gluon polarization for $x_T > 0.05$ if included in global fits

Newly published results:

- Largest 200 GeV longitudinally polarized pp dataset (2015); improved both statistical and systematic uncertainties
- Include jet and di-jet A_{LL} : constrain gluon polarization for x_T > 0.05



Proton Spin From Lattice QCD

Lattice QCD calculation of quark and gluon angular momentum contributions to proton spin



C. Alexandrou et al., Phys. Rev. Lett. 119, 142002 (2017).

Y.-B. Yang et al., Phys. Rev. Lett. 118, 102001 (2017).

The incomplete nucleon: spin puzzle



The Incomplete Nucleon: Spin Puzzle

[X. Ji, 1997]



Orbital angular momentum of quarks and gluons is important

Orbital motion - Nucleon Structure from 1D to 3D



Generalized parton distribution (GPD) Transverse momentum dependent parton distribution (TMD)

[Bacchetta's talk (2016)]

Nucleon Structure from 1D to 3D & orbital motion

5-D Wigner distribution



Generalized parton distribution (GPD)

Transverse momentum dependent parton distribution (TMD)

Image from J. Dudek et al., EPJA 48,187 (2012)

X.D. Ji, PRL91, 062001 (2003); Belitsky, Ji, Yuan, PRD69,074014 (2004)

Experimental paths to GPDs

Accessible in *exclusive* reactions, where all final state particles are detected.



cliparts.co

Trodden paths, or ones starting to be explored:

- Deeply Virtual Compton Scattering (DVCS)
 Deeply Virtual Meson Production (DVMP)
 Time-like Compton Scattering (TCS)
- Double DVCS

TCS

Virtual photon time-like



One time-like, one space-like virtual photon



DVCS Virtual photon space-like

Slide from Daria Sokhan

Access GPDs through Hard Processes

Deeply Virtual Compton Scattering (DVCS)



Access different GPDs

 $d\sigma_{LU} = \sin \phi \cdot \mathcal{I}m\{F_1\mathcal{H} + x_B(F_1 + F_2)\tilde{\mathcal{H}} - kF_2\mathcal{E}\}d\phi$ $d\sigma_{UL} = \sin \phi \cdot \mathcal{I}m\{F_1\tilde{\mathcal{H}} + x_B(F_1 + F_2)(\tilde{\mathcal{H}} + x_B/2\mathcal{E}) - x_BkF_2\tilde{\mathcal{E}} \dots \}d\phi$ $d\sigma_{LL} = (A + B\cos\phi) \cdot \mathcal{R}e\{F_1\tilde{\mathcal{H}} + x_B(F_1 + F_2)(\tilde{\mathcal{H}} + x_B/2\mathcal{E}) \dots \}d\phi$ $d\sigma_{UT} = \cos\phi \cdot \mathcal{I}m\{k(F_2\mathcal{H} - F_1\mathcal{E}) + \dots \}d\phi$

Alternative processes: deeply virtual meson production (DVMP), double DVCS, timelike Compton scattering (TCS)...

Quark Angular Momentum
Ji's sum rule:
$$J^q = \frac{1}{2} \int_{-1}^{1} dx \, x [H^q(x,\xi,t) + E^q(x,\xi,t)] = \frac{1}{2} \Delta \Sigma + L^q$$

Access to quark orbital angular momentum with GPDs



Leading-Twist TMD PDFs





Probed with transversely polarized targets HERMES, COMPASS, JLab E06-010

Leading-Twist TMD PDFs



		Quark polarization		
		Unpolarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Nucleon Polarization	U	<i>f</i> ₁ = •		$h_1^{\perp} = \begin{array}{c} \bullet \\ \bullet \\ Boer-Mulders \end{array}$
	L		$g_1 = + + - + +$ Helicity	$h_{1L}^{\perp} = \checkmark - \checkmark +$ Long-Transversity
	Т	$f_{1T}^{\perp} = \underbrace{\bullet}_{\text{Sivers}}^{\bullet} - \underbrace{\bullet}_{\text{Y}}^{\bullet}$	$g_{1T} = \underbrace{{{{}{}{}{}{}{\overset$	$h_{1} = \underbrace{\begin{array}{c} \bullet \\ \bullet \\ \mathbf{Transversity} \end{array}}_{\mathbf{h}_{1T}} + \underbrace{\begin{array}{c} \bullet \\ \bullet \\ \mathbf{h}_{1T} \end{array}}_{\mathbf{Pretzelosity}} + \underbrace{\begin{array}{c} \bullet \\ \bullet \\ \mathbf{Pretzelosity} \end{array}}_{\mathbf{h}_{1T}} + \underbrace{\begin{array}{c} \bullet \\ \bullet \\ \mathbf{h}_{1T} \end{array}}_{\mathbf{h}_{1T}} + \underbrace{\begin{array}{c} \bullet \\\mathbf{h}_{1T} + \underbrace{\begin{array}{c} \bullet \\\mathbf{h}_{1T}$

TMDs – confined motion inside the nucleon





- $h_{1T}(h_1) = g_1$ (no relativity)
- h_{1T} tensor charge (lattice

QCD calculations)

Connected to nucleon beta decay and EDM



 Nucleon spin - quark orbital angular momentum (OAM) correlation – zero if no OAM (model dependence)

Pretzelosity

S_⊤: Nucleon Spin

s_a: Quark Spin

Relevant Vectors

k₁: Quark Transverse Momentum

(defines z-direction)

P: Virtual photon 3-momentum



- Interference between components with OAM difference of 2 units (i.e., s-d, p-p) (model dependence)
- Signature for relativistic effect

Access TMDs through Hard Processes





Partonic scattering amplitude

- Fragmentation amplitude
- Distribution amplitude

 $f_{1T}^{\perp q}(\text{SIDIS}) = -f_{1T}^{\perp q}(\text{DY})$ $h_1^{\perp}(\text{SIDIS}) = -h_1^{\perp}(\text{DY})$

Fragmentation Functions



A. Metz and A. Vossen, Prog. Part. Nucl. Phys., 91, 136 (2016)

<u>COLLINS</u> FFs IN e^+e^-



Access spin dependence and p_T dependence (convolution or in jet) without **PDF** complication

extraction of transversity (Phys.Rev. D75 (2007) 054032) from SIDIS • Confirmed by BaBar (a) $\sqrt{s} \sim 10.6 \text{ GeV}$ (PRD 90,052003 (2014); PRD 92,111101(R)(2015) for KK and Kπ) • Measured at BESIII (a) $\sqrt{s} = 3.65 \text{ GeV}$ (PRL 116,42001(2016))



Made possible by B-factory luminosities

Workshop on Novel Probes of the Nucleon Structure in SIDIS, e+e- and pp (FF2019), chaired by Anselm Vossen and Harut https://www.jlab.org/indico/event/308/ Avagyan

Lepton Scattering ----- A powerful tool



Semi-Inclusive Deep Inelastic Scattering Kinematics

• The lepton's energy loss in the nucleon rest frame, or the energy it transfers into the nucleon system:

$$\nu = E_l - E_{l'} = \frac{q \cdot P}{M}$$

• 4-momentum transfer squared of the virtual photon. ⁸

$$Q^2 = -q^2 \tag{2.9}$$

- x_{bj} is the fraction of the nucleon's momentum carried by the struck quark
 - $k = x_{bj} \cdot P$ in the parton model and in the light-cone frame.

$$x_{bj} \equiv x = \frac{Q^2}{2M\nu} \tag{2}$$

• The fraction of the lepton's energy transfer in the nucleon rest frame.

$$y = \frac{E_l - E_{l'}}{E_l} = \frac{q \cdot P}{l \cdot P}$$

 $\bullet~W$ is the mass of the recoiling system.

$$W = \sqrt{(P+q)^2} \tag{2.12}$$

• The center-of-mass energy squared of the lepton-nucleon system.

$$s = (l+P)^2$$
 (2.13)



• Transverse momentum of the detected hadron P_T :

$$P_T = \frac{\vec{q} \cdot \vec{P_h}}{|\vec{q}|} \tag{2.32}$$

 $\bullet~$ Ratio of the energy carried by the detected hadron and the energy of the virtual (2.11)

$$z = \frac{P \cdot P_h}{P \cdot q}$$

• Missing Mass
$$W'$$
:

$$W' = \sqrt{(q+P-P_h)^2}$$