

MITQCD

Generalized form factors of the proton (et al.)

USQCD All-Hands Meeting
Apr 22, 2022

Dan Hackett*
Dimitra Pefkou
Phiala Shanahan

Outline

Generalized form factors (GFFs)

Moments of generalized parton distributions

Interesting subset: gravitational form factors (GFFs)

Lattice approach

Previous results (glue)

Nucleon, pion, ρ meson, Δ baryon at $M_\pi = 450$ MeV

[\[Pefkou DH Shanahan 2022\]](#)

Spatial densities of energy, pressure, shear forces

Ongoing calculation (glue + quark)

Generalized form factors (GFFs)

Interested in GFFs parametrizing hadronic matrix elements of twist-2 operators

$$\begin{aligned} & \langle h(p') | \bar{\psi} i \overleftrightarrow{D} \cdots i \overleftrightarrow{D} \Gamma \psi | h(p) \rangle \\ & \langle h(p') | G i \overleftrightarrow{D} \cdots i \overleftrightarrow{D} G | h(p) \rangle \\ & \langle h(p') | G i \overleftrightarrow{D} \cdots i \overleftrightarrow{D} \tilde{G} | h(p) \rangle \end{aligned} \sim \sum_i K_i^{\mu_1 \mu_2 \cdots} \text{GFF}_i(t)$$

Covariant derivative $\overleftrightarrow{D} = (\overrightarrow{D} - \overleftarrow{D})/2$

G : Gluon field strength tensor

Γ : Gamma matrices

K : Kinematic coefficient / Lorentz structure

p, p' : Incoming/outgoing momentum

Generalized parton distributions (GPDs)

GFFs related to Mellin moments of GPDs

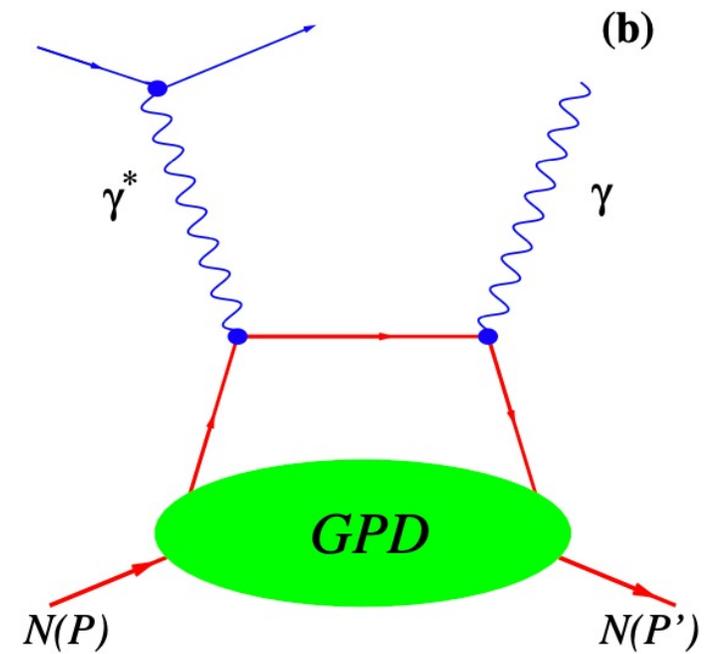
$$\int dx x^{n-1} \text{GPD}(x, \xi, t)$$

e.g. nucleon $n = 2$

$$\int dx x H_{q,g}(x, \xi, t) = A_{q,g}(t) + \xi^2 D_{q,g}(t)$$

$$\int dx x E_{q,g}(x, \xi, t) = B_{q,g}(t) - \xi^2 D_{q,g}(t)$$

→ relate to experiment via factorization



[Polyakov Schweitzer 2018]

Gravitational form factors (GFFs)

$n = 2$ spin-independent generalized form factors

For (symmetric) EMT, $T^{\{\mu\nu\}} = T_g^{\{\mu\nu\}} + \sum_q T_q^{\{\mu\nu\}}$

Gluons $T_g^{\{\mu\nu\}} = 2 \text{Tr}[G^{\alpha\{\mu} G^{\nu\}\alpha}]$

Quarks $T_q^{\{\mu\nu\}} = \bar{q} \gamma^{\{\mu} i\vec{D}^{\nu\}} q$

$$a^{\{\mu} b^{\nu\}} \equiv \frac{1}{2}(a^\mu b^\nu + a^\nu b^\mu)$$

$$\vec{D} = (\vec{D} - \overleftarrow{D})/2$$

u, \bar{u} = Dirac spinors

$$P = (p' + p)/2$$

$$\Delta = p' - p$$

$$t = \Delta^2$$

Momentum fraction

$$A_{q,g}(0) = \langle x \rangle_{q,g}$$

Spin fraction $J_{q,g}(0)$

$$\langle N(p') | T_{g,q}^{\{\mu\nu\}} | N(p) \rangle = \bar{u}(p') \left[A_{g,q}(t) \frac{P^{\{\mu} P^{\nu\}}}{M} + J_{g,q}(t) \frac{i P^{\{\mu} \sigma^{\nu\}\rho} \Delta_\rho}{2M} + D_{g,q}(t) \frac{\Delta^{\{\mu} \Delta^{\nu\}} - g^{\mu\nu} \Delta^2}{4M} + \bar{c}_{g,q}(t) M g^{\mu\nu} \right] u(p)$$

Internal forces

$D(0)$: “the last global unknown”

Power-divergent mixing

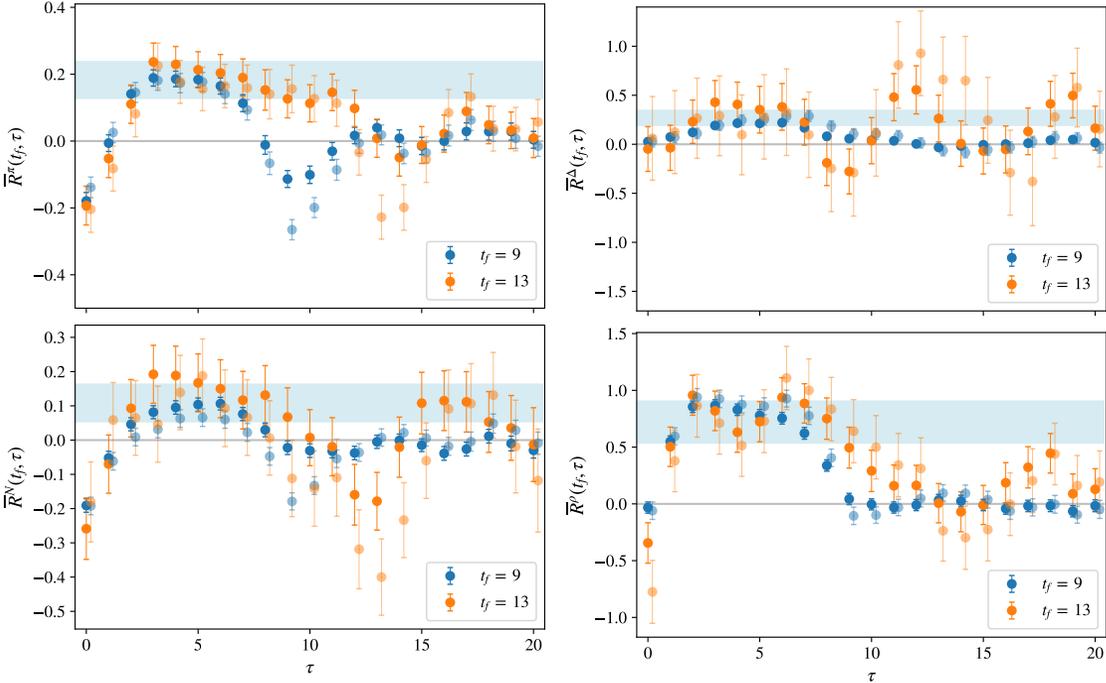
Not conserved $\sum_q \bar{c}_q + \bar{c}_g = 0$

Extracting the GFFs

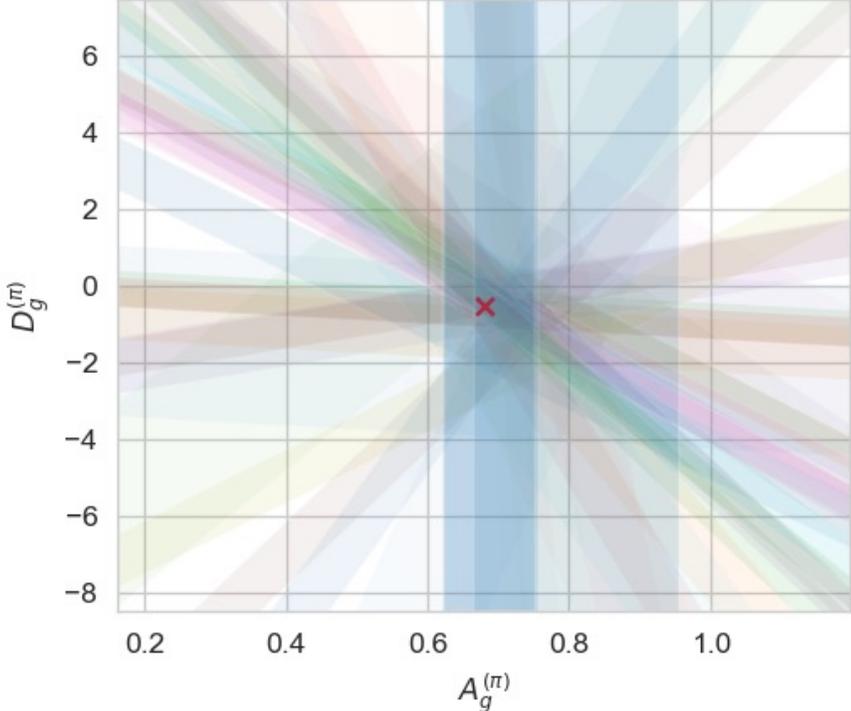
$$R(p, p'; \tau, t_f) = \frac{C^{3\text{pt}}(p, p'; t_f, \tau)}{C^{2\text{pt}}(p'; t_f)} \sqrt{\frac{C^{2\text{pt}}(p; t_f - \tau)}{C^{2\text{pt}}(p'; t_f - \tau)} \frac{C^{2\text{pt}}(p'; t_f)}{C^{2\text{pt}}(p; t_f)} \frac{C^{2\text{pt}}(p'; \tau)}{C^{2\text{pt}}(p; \tau)}}$$

= (kinematic coeffs) · (GFFs)(t) + (excited states)

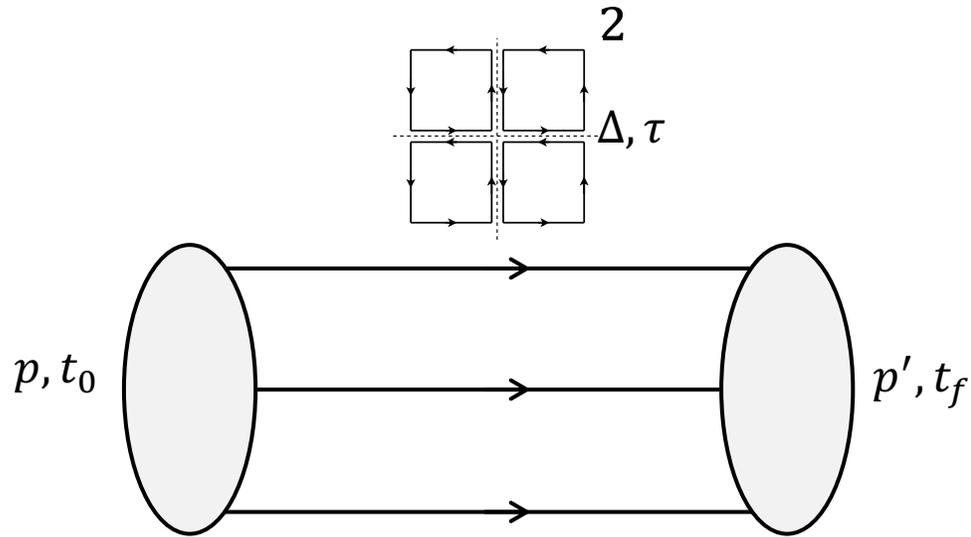
Example ratios



Linear constraints on GFFs



Glue three-point functions



Gluons are **noisy**

- Construct improved $G_{\mu\nu}$ with flowed links
- High statistics:
 - $O(1000)$ configs
 - 100s meas/config
 - Multiple source & sink smearings
 - All spin channels
 - Many p, p'
 - Complete hypercubic irrep bases
 - Fit full τ, t_f dependence

Results so far

Ensemble:

$32^3 \times 96$ lattice, $M_\pi L \sim 8.5$

Lüscher-Weisz gauge action

2+1 stout-smearred clover fermions

$a = 0.1167(16)$ fm

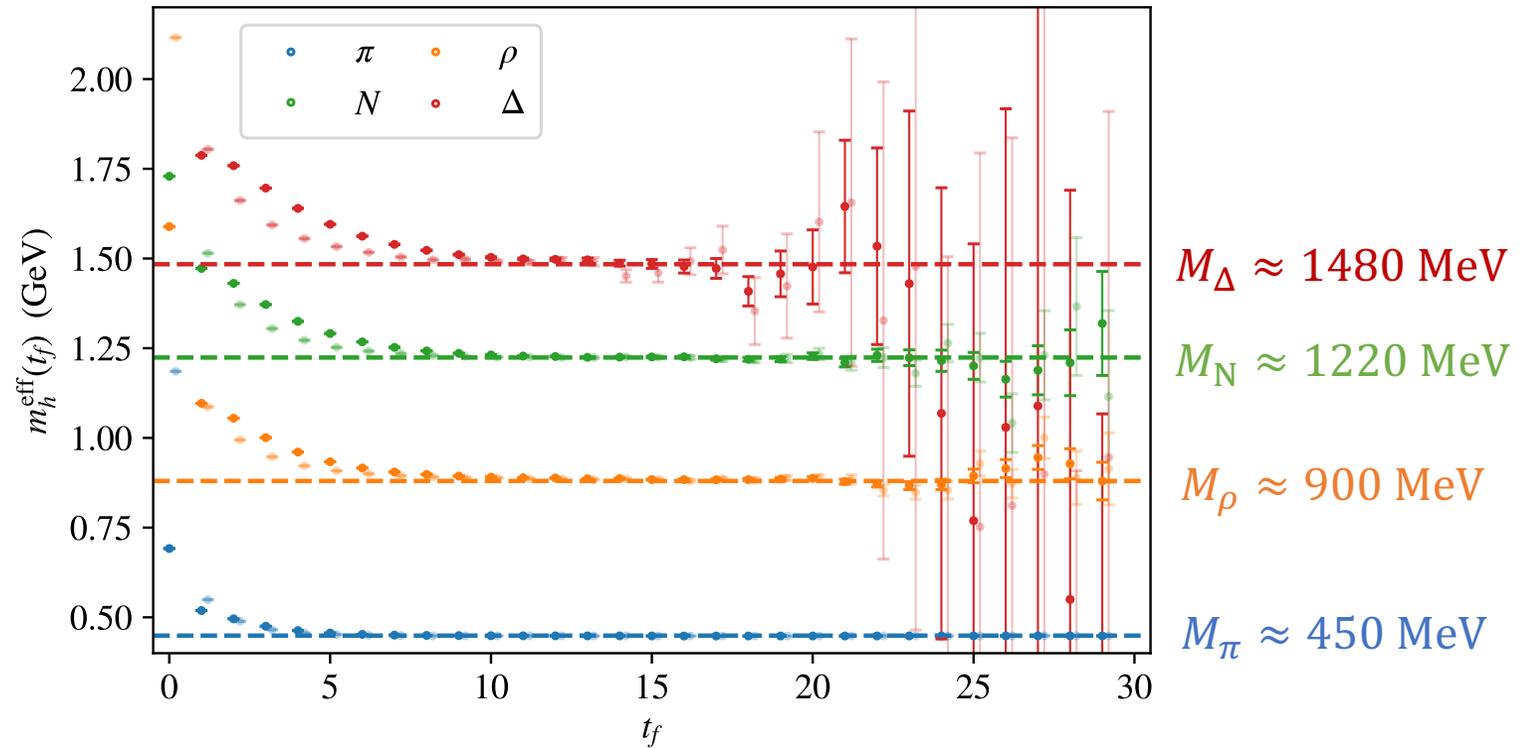
$M_\pi = 450(5)$ MeV

→ ρ, Δ are stable

2820 configs, ≈ 235 sources/config

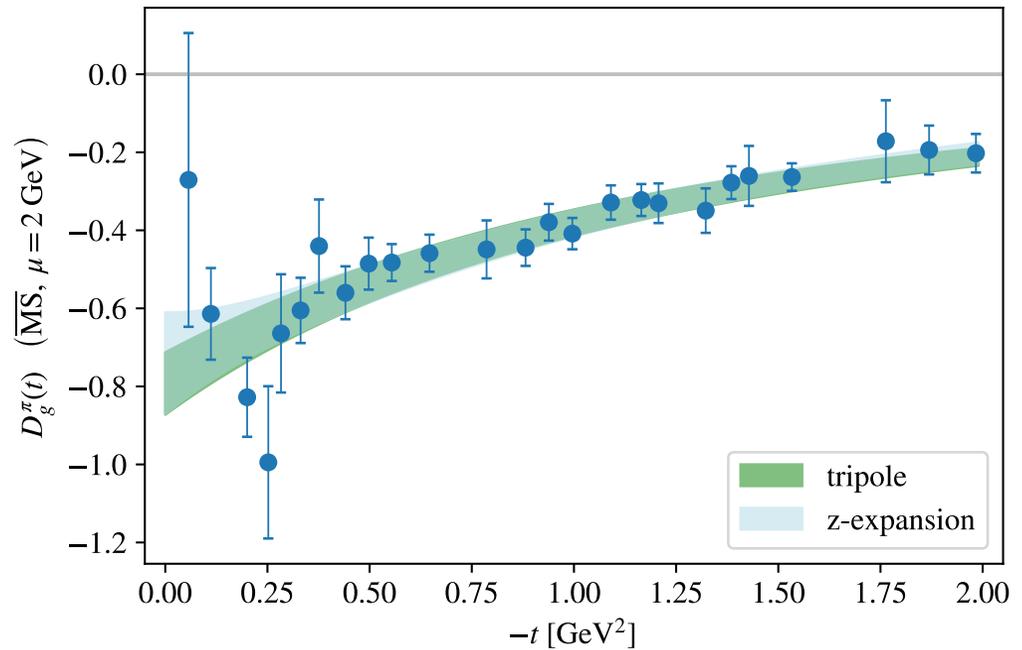
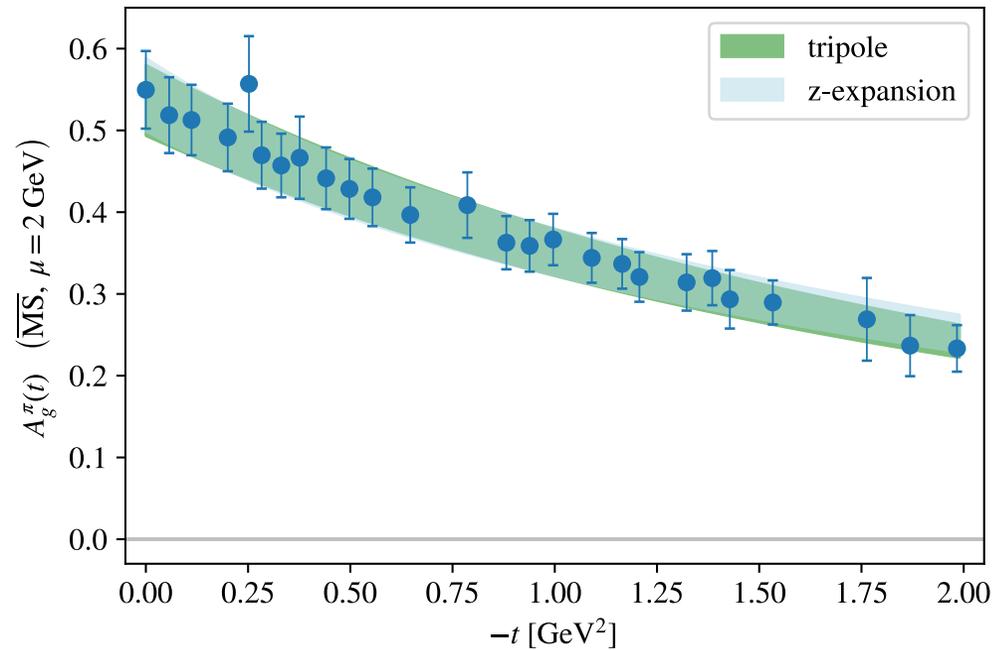
2 source/sink smearings

[\[Pefkou DH Shanahan 2022\]](#)



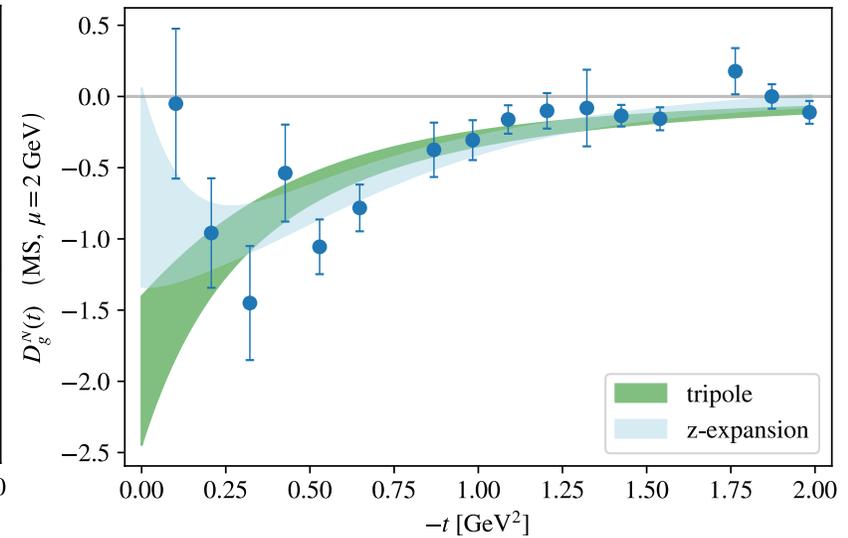
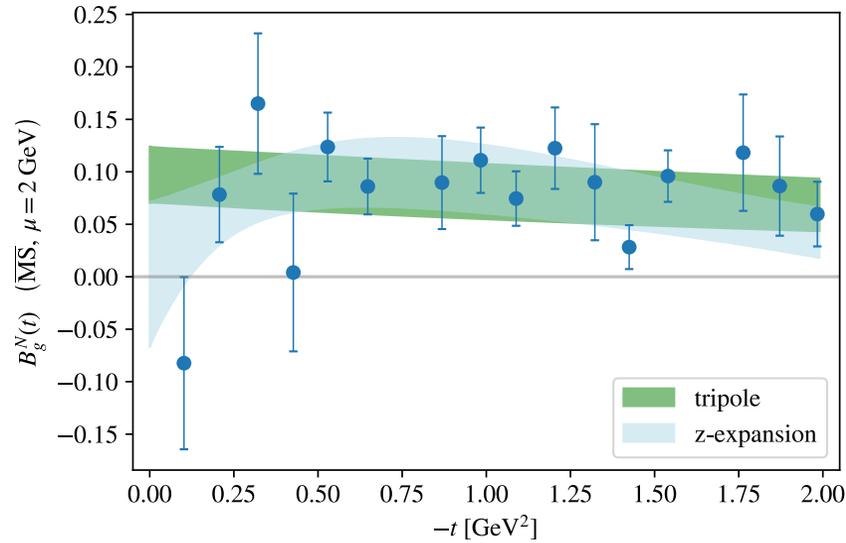
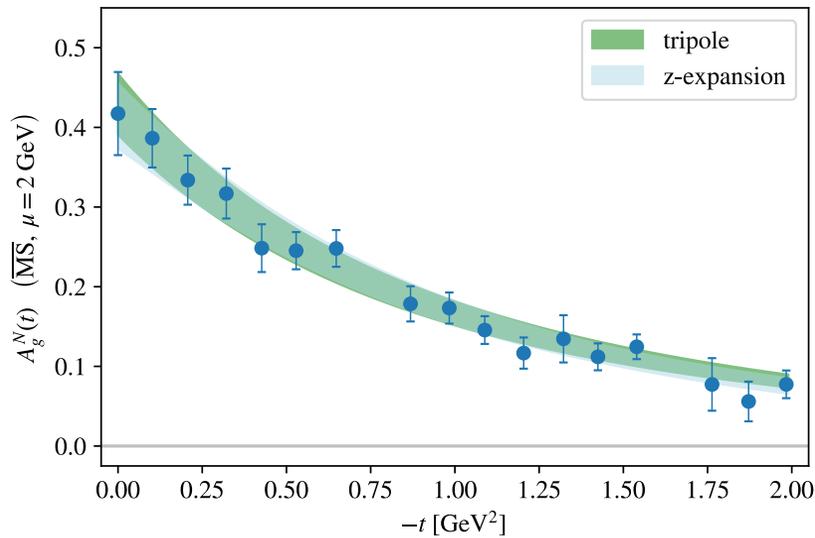
Results: pion

$$\left\langle \pi(p') \left| T_g^{\{\mu\nu\}} \right| \pi(p) \right\rangle = A_g(t) 2P^\mu P^\nu + D_g(t) \frac{1}{2} (\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2) + \bar{c}_g(t) 2M^2 g^{\mu\nu}$$



Results: nucleon

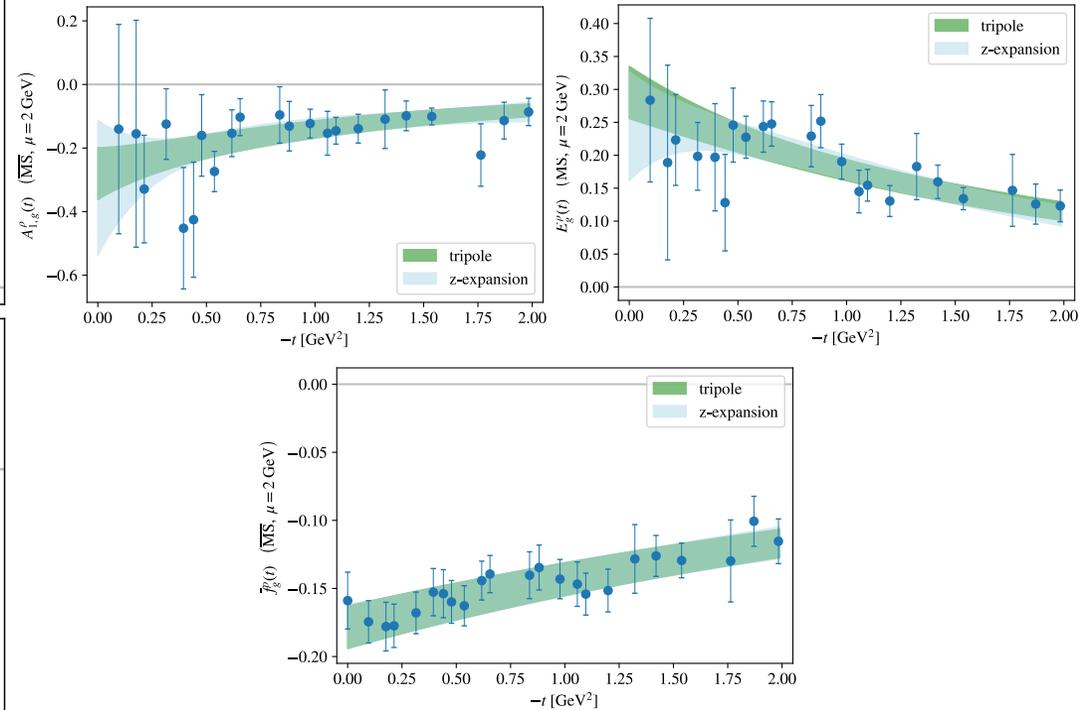
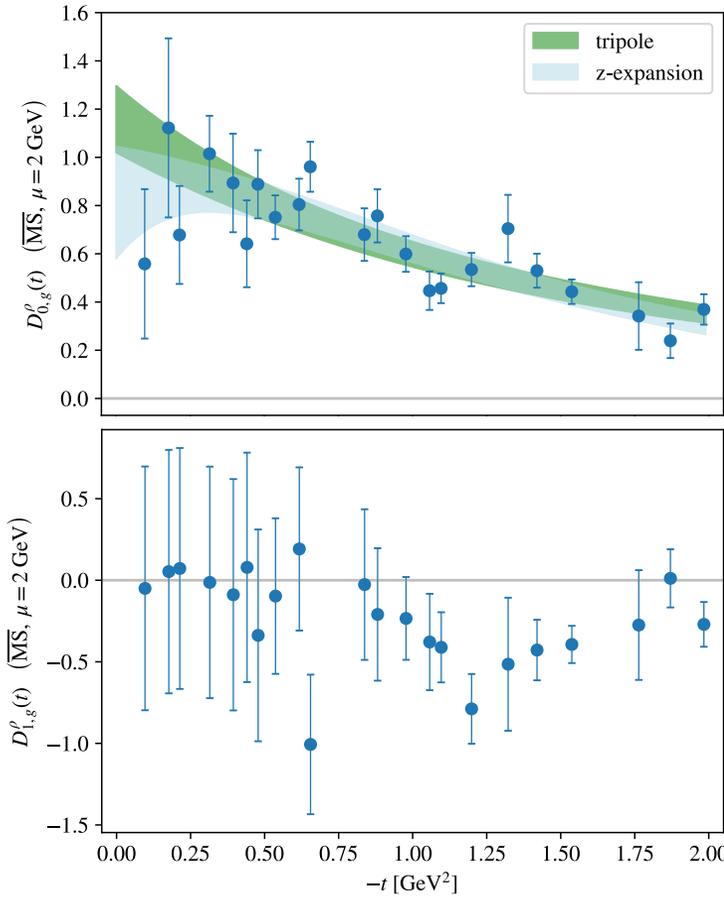
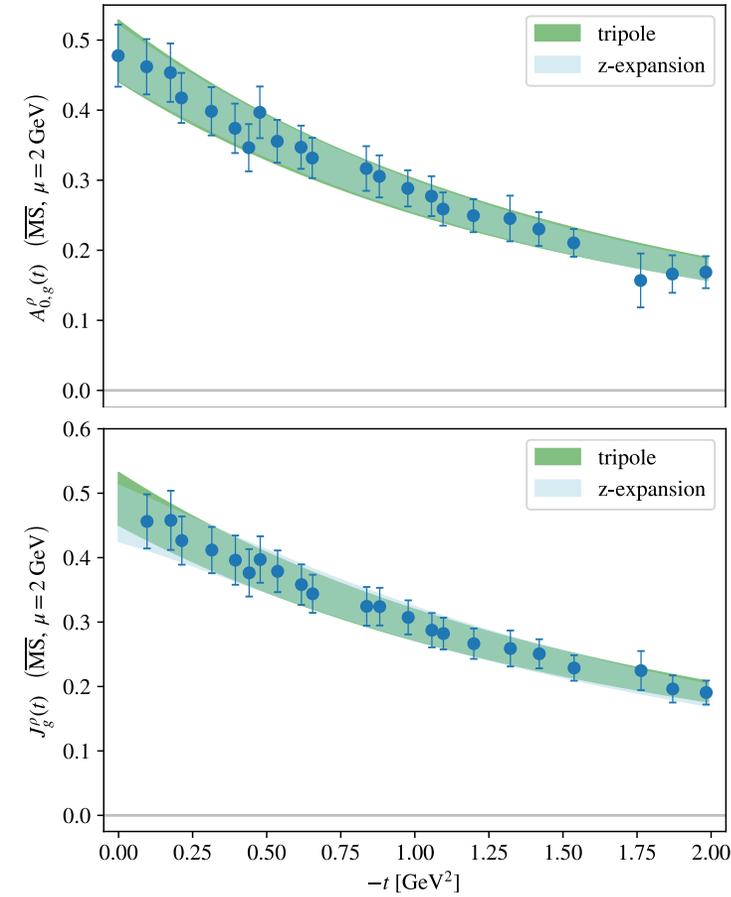
$$\langle N(p', s') | T_g^{\{\mu\nu\}} | N(p, s) \rangle = \bar{u}(p', s') \left[A_g(t) \gamma^{\{\mu} P^{\nu\}} + B_g(t) \frac{i P^{\{\mu} \sigma^{\nu\} \rho} \Delta_\rho}{2M} + D_g(t) \frac{\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2}{4M} + \bar{c}_g(t) M g^{\mu\nu} \right] u(p, s)$$



$$B(t) = 2J(t) - A(t)$$

Results: ρ meson

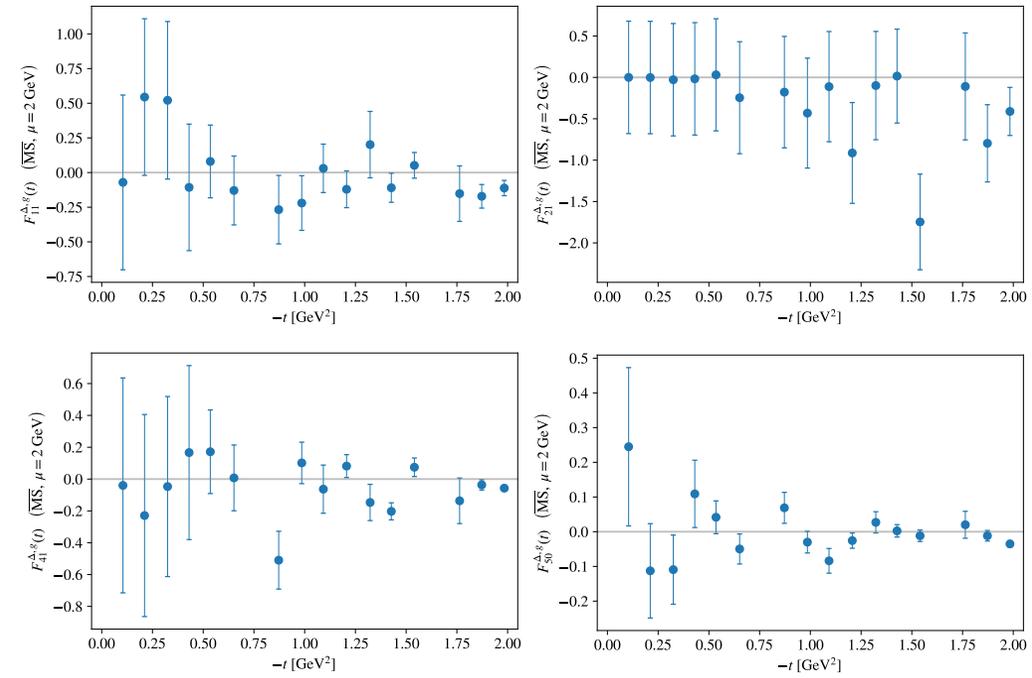
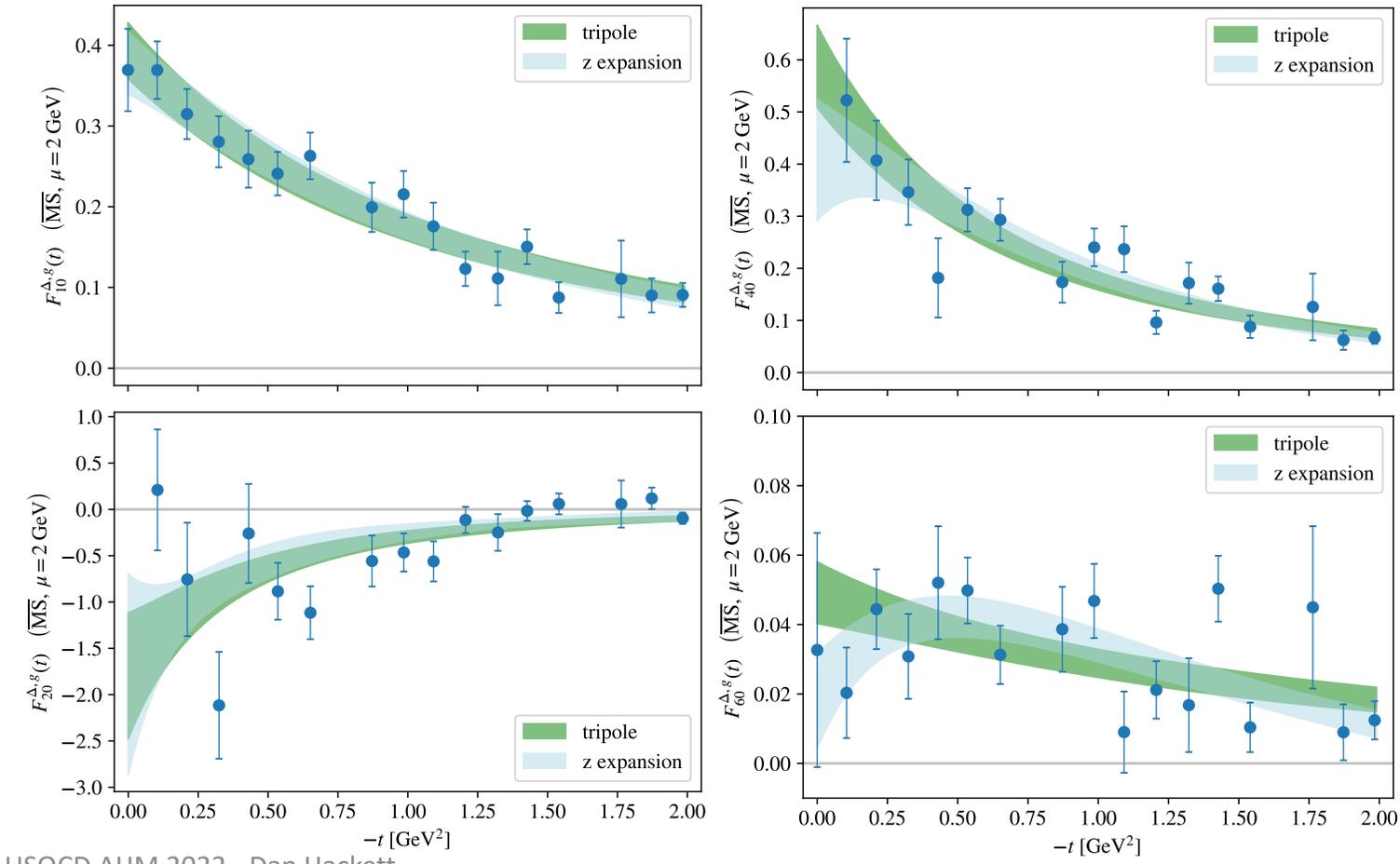
$$\begin{aligned} \langle \rho(p', \lambda') | T_g^{\{\mu\nu\}} | \rho(p, \lambda) \rangle = E_{\alpha'}^*(p', \lambda') \left\{ 2P^{\{\mu} P^{\nu\}} \left[-g^{\alpha'\alpha} A_{0g}(t) + \frac{P^{\alpha'} P^{\alpha}}{M^2} A_{1g}(t) \right] + \frac{1}{2} (\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2) \left[g^{\alpha'\alpha} D_{0g}(t) + \frac{P^{\alpha'} P^{\alpha}}{M^2} D_{1g}(t) \right] \right. \\ \left. + J_g(t) 8P^{\{\mu} g^{\nu\}\{\alpha'} P^{\alpha\}} + E_g(t) (g^{\alpha\{\mu} g^{\nu\}\alpha'} \Delta^2 - 2 g^{\alpha'\{\mu} \Delta^{\nu\}} P^{\alpha} + 2 g^{\alpha\{\mu} \Delta^{\nu\}} P^{\alpha'} - 4 g^{\mu\nu} P^{\alpha} P^{\alpha'}) \right. \\ \left. + M^2 \left(2g^{\alpha'\{\mu} g^{\nu\}\alpha} - \frac{1}{2} g^{\alpha\alpha'} g^{\mu\nu} \right) \bar{f}_g(t) + g^{\mu\nu} [g^{\alpha\alpha'} M^2 \bar{c}_{0g}(t) + P^{\alpha} P^{\alpha'} \bar{c}_{1g}(t)] \right\} E_{\alpha}^*(p, \lambda) \end{aligned}$$



[conventions: Polyakov, Sun [1912.08749](https://arxiv.org/abs/1912.08749)]

Results: Δ baryon

$$\begin{aligned} \langle \Delta(p', \xi') | T_g^{\mu\nu} | \Delta(p, \xi) \rangle = & \bar{u}_{\alpha'}(p', \xi') \left[\frac{P^\mu P^\nu}{M} \left(-g^{\alpha\alpha'} F_{10}^g(t) + \frac{\Delta^\alpha \Delta^{\alpha'}}{2M} F_{11}^g(t) \right) + \frac{\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2}{4M} \left(-g^{\alpha\alpha'} F_{20}^g(t) + \frac{\Delta^\alpha \Delta^{\alpha'}}{2M} F_{21}^g(t) \right) \right. \\ & + M g^{\mu\nu} \left(-g^{\alpha\alpha'} F_{30}^g(t) + \frac{\Delta^\alpha \Delta^{\alpha'}}{2M} F_{31}^g(t) \right) + \frac{i P^{\{\mu} \sigma^{\nu\} \rho} \Delta_\rho}{M} \left(-g^{\alpha'\alpha} F_{40}^g(t) + \frac{\Delta^{\alpha'} \Delta^\alpha}{2M^2} F_{41}^g(t) \right) \\ & \left. + \frac{2}{M} (\Delta^{\{\mu} g^{\nu\} \{\alpha' \Delta^\alpha\} - g^{\mu\nu} \Delta^\alpha \Delta^{\alpha'} - g^{\alpha'\{\mu} g^{\nu\} \alpha} \Delta^2) F_{50}^g(t) - 2g^{\alpha'\{\mu} g^{\nu\} \alpha} M F_{60}^g(t) \right] u_\alpha(p, \xi) \end{aligned}$$



[conventions: Kim, Sun [2011.00292](https://arxiv.org/abs/2011.00292)]

Energy, pressure, and shear force densities

1. Parametrize $T_{\mu\nu}(t)$ with GFFs
2. Fourier transform $T_{\mu\nu}(t) \rightarrow T_{\mu\nu}(r)$
3. Identify e.g.

$$T_{\mu\nu}(r) = \begin{bmatrix} T_{tt}(r) & \\ & T_{ij}(r) \end{bmatrix} = \begin{bmatrix} \epsilon(r) & \\ \left(\frac{r_i r_j}{r^2} - \frac{1}{d} \delta_{ij}\right) s(r) + \delta_{ij} p(r) & \end{bmatrix}$$

→ Spatial densities
 energy $\epsilon(r)$
 pressure $p(r)$
 shear forces $s(r)$

Note: frame dependent

$$T_{i,\text{BF3}}^{\mu\nu}(r) = \int \frac{d^3 \Delta e^{-i\Delta \cdot r}}{2P^0 (2\pi)^3} \langle h(p, s) | T_i^{\mu\nu} | h(p', s') \rangle \Big|_{\mathbf{P}=0}$$

$$T_{i,\text{BF2}}^{\mu\nu}(r) = \int \frac{d^2 \Delta_{\perp} e^{-i\Delta_{\perp} \cdot r}}{2P^0 (2\pi)^2} \langle h(p, s) | T_i^{\mu\nu} | h(p', s') \rangle \Big|_{\mathbf{P}=0}$$

$$T_{i,\text{IMF}}^{\mu\nu}(r) = \int \frac{d^2 \Delta_{\perp} e^{-i\Delta_{\perp} \cdot r}}{2P^0 (2\pi)^2} \langle h(p, s) | T_i^{\mu\nu} | h(p', s') \rangle \Big|_{\substack{P_z \rightarrow \infty \\ \mathbf{P} \cdot \Delta = 0}}$$

Results: nucleon densities

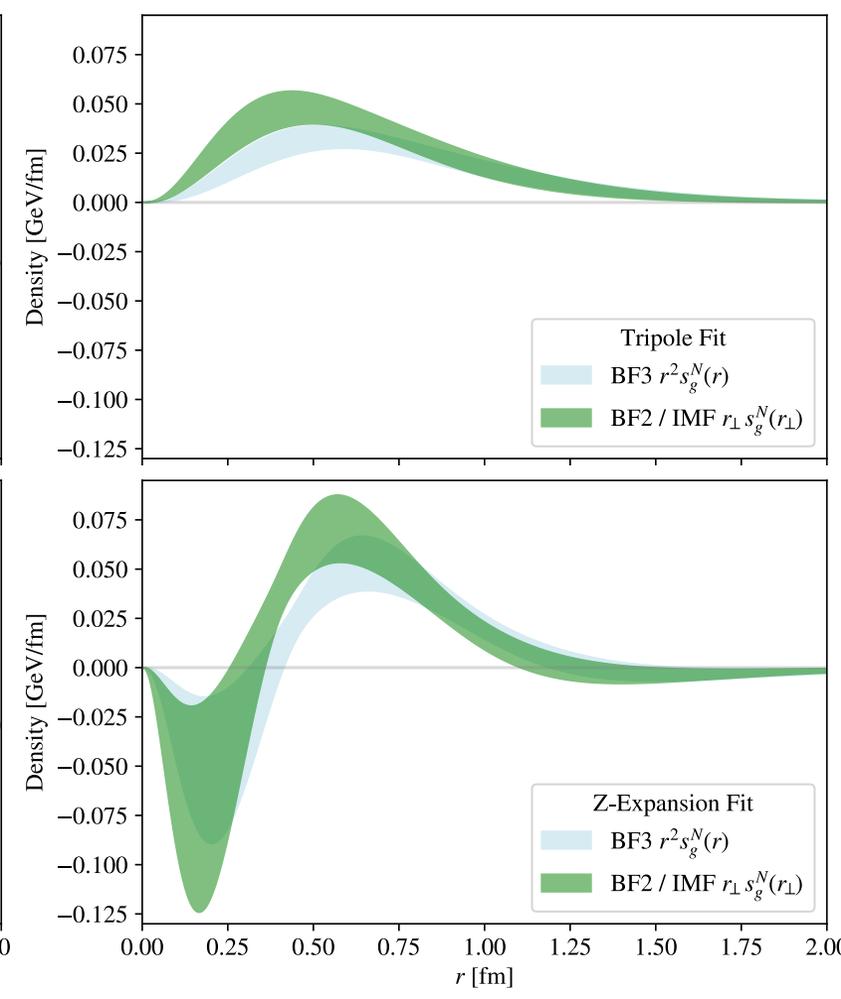
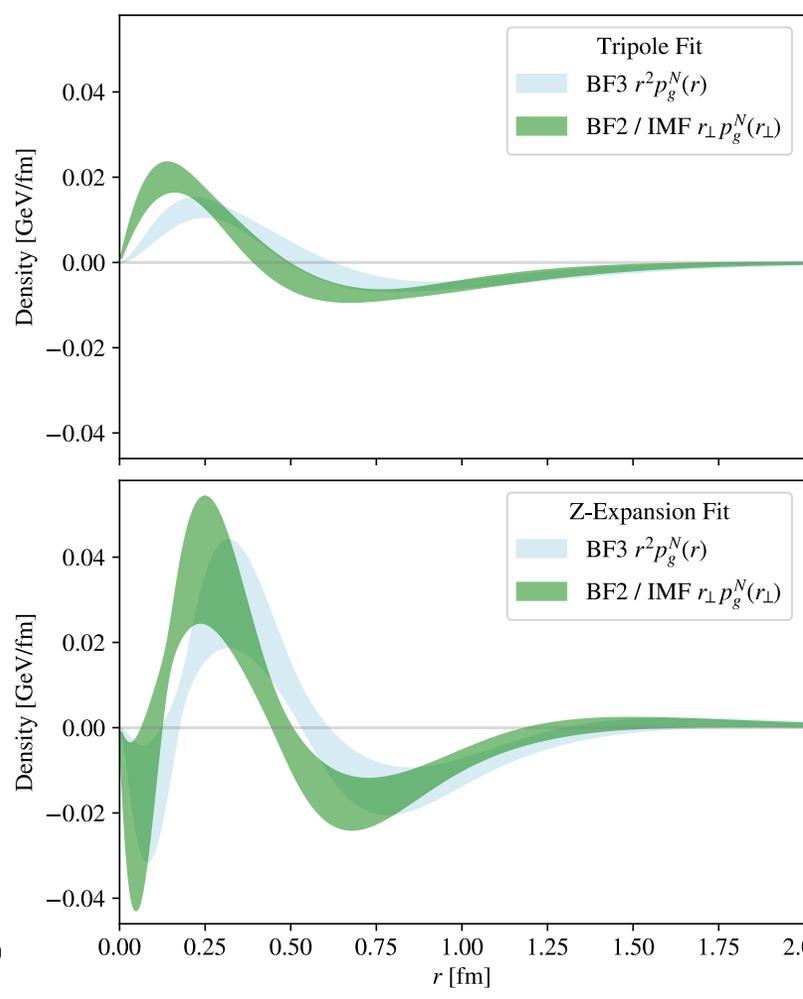
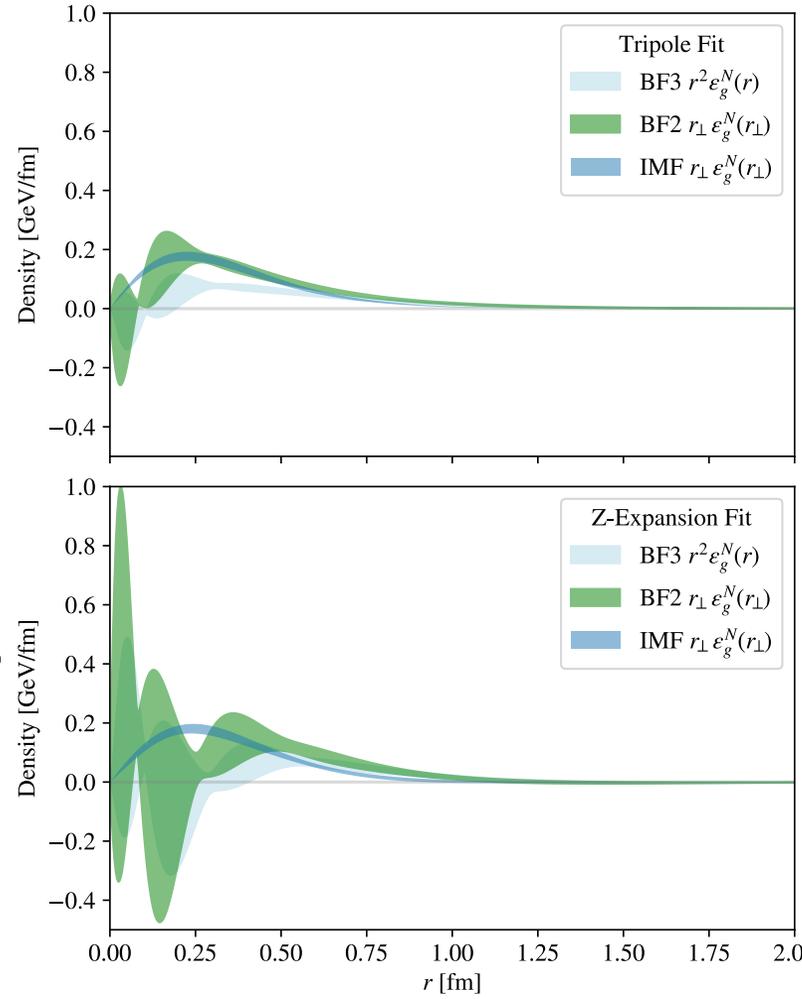
Energy

Pressure

Shear forces

Tripole

Z-exp



In progress

Full set of quark + glue GFFs for N, π on a different ensemble:

Lüscher-Weisz gauge action

2+1 stout-smearred clover fermions

$M_\pi = 170 \text{ MeV}$

$a = 0.091 \text{ fm}$

$48^3 \times 96$

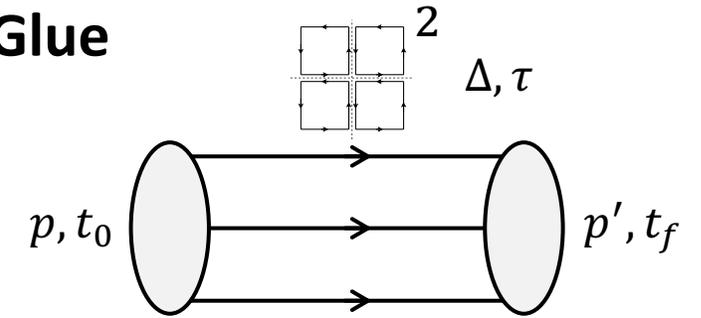
Estimated final stats:

Glue: $\sim 1800 \text{ configs} \times 512 \text{ sources}$

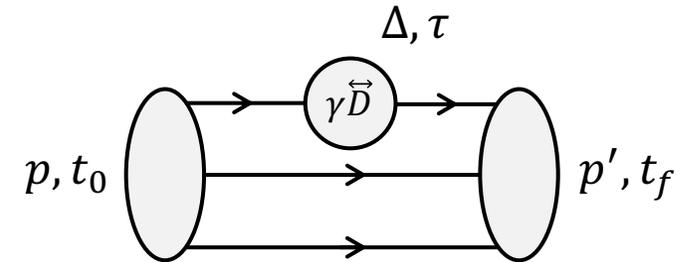
Connected: $\sim 1000 \text{ configs} \times 16 \text{ sources}$

Disconnected: WIP

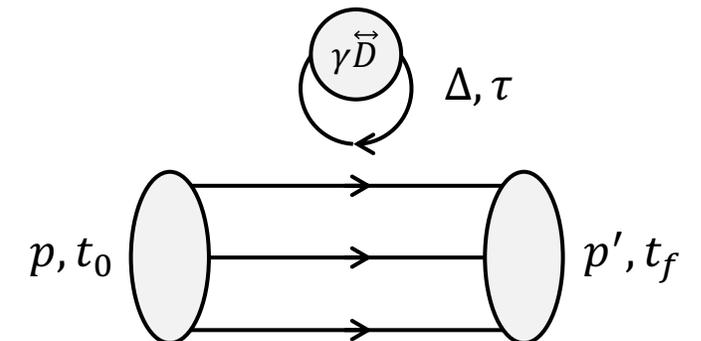
Glue



Connected quark ($u - d$)

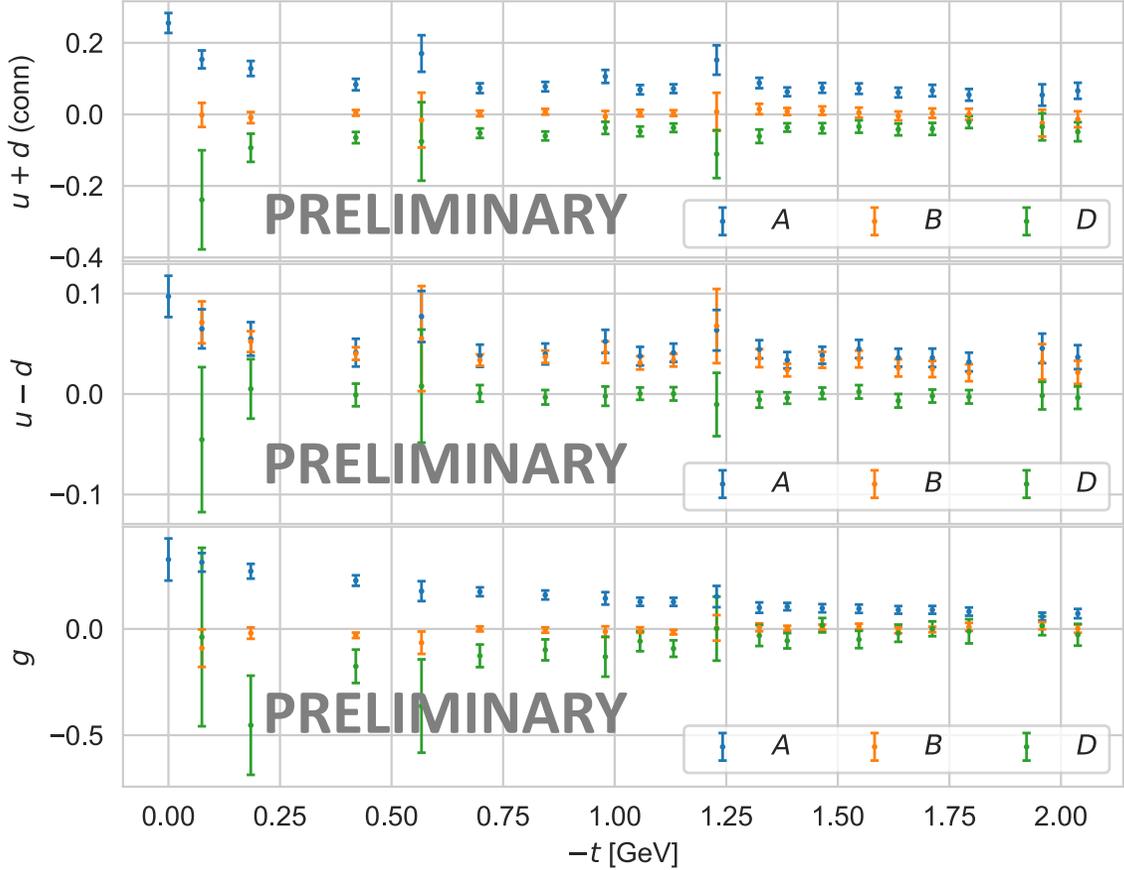


Disconnected quark ($u + d, s$)



Preliminary results

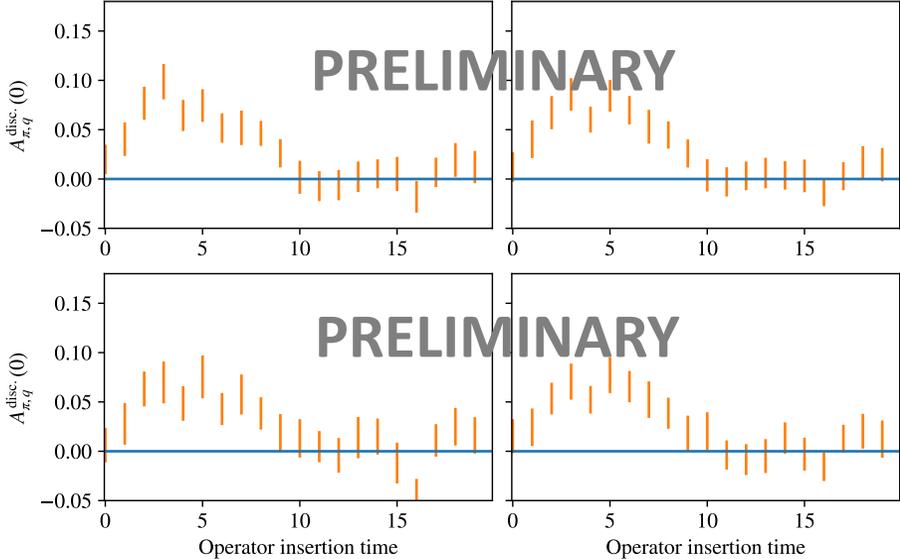
Bare nucleon GFFs



Note:

- Partial datasets ($\lesssim 50\%$)
- Preliminary analysis
- Not renormalized

Example disconnected pion ratios



Ongoing/Future

So far:

Gluon GFFs of the N, π, ρ, Δ at $m_\pi = 450$ MeV

Upcoming:

Gravitational form factors of the N, π at $m_\pi = 170$ MeV

Complete calculation of energy, pressure, shear force densities

Current proposal:

Full set of lowest-lying quark and gluon GFFs: axial, tensor structures

Future:

More ensembles \rightarrow full control over systematics